

Series 7 Study Guide

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The pre-Oct. 1, 2018 Series 7 exam is 250 questions and 6 hours long. It's a grind that requires test takers to internalize arcane and generally useless (see below) finance knowledge. Most financial institutions will provide new hires with Series 7 study materials and will encourage them to allocate about 1 week of dedicated study time.

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Regulatory Authority (FINRA) administers the Series 7 Top-Off, which is a 135 question exam. 10 of the questions are considered "experimental" and do not count towards your grade. In total, 125 questions will count toward your final score.

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The Series 7 Study Guide provided by Mometrix, as well as the Series 7 Flashcards, are specifically designed to help you obtain the very best test score possible. What Does the Series 7 Cover? The Series 7 exam includes the four major job functions of a general securities representative:

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This is a series 7 study guide for the series 7 exam. The Series 7 Top-Off Study Guide Audio Lessons for the New Series 7 Exam prep packages provide all the necessary tools to help you prepare, practice, and perform on the New Series 7 Exam, General Securities Representative Examination (GS). We offer an audio approach for exam prep you can use whatever the audio lessons needed to best suit your learning style and schedule to reinforce concepts used in the financial services industry.

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The Series 7 exam is a test that assesses the knowledge and competency of registered general securities representatives. It is intended for entry-level reps and it prepares employees with the critical skills needed to trade general securities. In the United States, the exam is administered by the Financial Industry Regulatory Authority (FINRA).

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Passing the Series 7 exam is a rite of passage for stockbrokers. If you put the time and effort into studying for the exam, you'll be rewarded. If not, you'll have to take it over and over again until you pass. You have to use your time efficiently, and to accomplish this, you need to grab every spare moment and channel it into study time.

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In a nutshell, passing the Series 7 exam qualifies you to hold the title of General Securities Registered Representative. This allows you to solicit and sell securities products, including stocks, bonds, options, limited partnerships, and so on. The Series 7 serves a valuable purpose because it protects the investing public.

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Additionally, before or after taking the Series 7 Exam, you must pass the Securities Industry Essentials Exam in order to become a securities registered representative. This is a computer-based exam that consists of 135 multiple-choice questions, of which, 125 will be scored. You will have 3 hours and 45 minutes to complete the exam.

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